

Code TVB
Company name Tri Viet Securities Joint Stock Company
Date 03/05/2020
Subject Resolution on the AGM 2020

Content:

On March 03, 2020, Tri Viet Securities Joint Stock Company announces the resolution of Annual General Meeting 2020 as follows:

1. Approving the business result in 2019:
 - Total revenue: 71,428,861,063 dongs
 - Profit before tax: 24,452,596,232 dongs
 - Profit after tax: 19,479,339,727 dongs.
2. Approving the business plan in 2020:
 - Total revenue: 152 billion dongs;
 - Profit after tax: 71 billion dongs.
3. Approving the report on the BOD activities in 2019 and plan for 2020.
4. Approving the report from the Supervisory Board in 2019 and plan for 2020.
5. Approving the audited financial statements in 2019 by CPA Vietnam Auditing Com Ltd.
6. Approving the remuneration of the BOD and Supervisory Board in 2019 and plan for 2020:
 - a. In 2019:
 - Chairman of BOD: 22,000,000 dongs/month
 - Member of BOD: 5,500,000 dongs/month
 - Head of Supervisory Board: 5,500,000 dongs/month
 - Member of Supervisory Board: 0 dongs/month.
 - b. In 2020:
 - Chairman of BOD: 22,000,000 dongs/month
 - Member of BOD: 5,500,000 dongs/month
 - Head of Supervisory Board: 5,500,000 dongs/month
 - Member of Supervisory Board: 0 dongs/month.
7. Approving the bonus of 20% profit after tax to the BOD and Directorate.
8. Approving the resignation of Mr. Nguyen Dinh Thanh as member of BOD.
9. Approving the reappointment of Mr. Pham Thanh Tung as Chairman of BOD for the period 2020 – 2024.
10. Approving the reappointment of Mr. Nguyen Thanh Duy as member of BOD for the period 2020 – 2024.

11. Approving the list of members of BOD for the period 2020 – 2024:
 - Mr. Pham Thanh Tung: Chairman of BOD;
 - Mr. Nguyen Tien Son: Deputy chairman of BOD;
 - Mr. Nguyen Thanh Duy: member of BOD;
 - Mr. Vu Dinh Hung: member of BOD;
 - Mr. Phan Le Thanh Long: member of BOD.
12. Approving the amendment of the company's charter
13. Approving the amendment of Corporate Governance Internal Regulation.
14. Approving the selection of the one of the auditors for the financial statements in 2020:
 - Vietnam Auditing Evaluation Com Ltd (VAE);
 - AFC Vietnam Auditing Com Ltd (AFC);
 - PKF Vietnam Com Ltd.
15. Approving the plan for 2019 profit distribution and plan for 2020:
 - a. Profit distribution plan in 2019:
 - Profit before tax: 24,452,596,232 dongs
 - Corporate income tax: 4,973,256,505 dongs
 - Profit after tax: 19,479,339,727 dongs
 - Profit of previous years to transfer: 18,078,159,318 dongs
 - Profit after tax to distribute: 37,557,499,045 dongs
 - Reserve fund: 973,966,986 dongs
 - Financial reserve and Occupational risk fund: 973,966,986 dongs
 - Remaining profit after tax and to distribute funds: 35,609,565,073 dongs
 - Reward and Welfare fund: 0 dongs
 - Remaining profit after distribution: 35,609,565,073 dongs.
 - b. Expected profit after tax of 2020: 71 billion dongs
16. Approving the cancellation of planning stock issuance to raise capital from the owner's equity.
17. Approving the cancellation of planning stock issuance under ESOP.
18. Approving the cancellation of planning the private issuance.
19. Approving the plan for stock issuance to existing shareholders.
20. Approving to allow Tri Viet Asset Management Corporation Joint Stock Company (parent company) to own the maximum 75.6% charter capital of TVB without a public tender offer.
21. Approving the plan to adjust the maximum ownership of foreign investor to 75.6%.
22. Approving to supplement the securities business operation.